

60591-US

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PTO/SB/81 (11-08)

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<b>POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>		Application Number <i>10,591,159</i>
		Filing Date <i>10/13/06</i>
		First Named Inventor <i>Bartnikov</i>
		Title <i>Mutants of Cb Alkylguanine-DNA Alkyltransferase</i>
		Art Unit <i>1652</i>
		Examiner Name <i>Long Pak</i>
		Attorney Docket Number <i>CV-E-005-PUS</i>

I hereby revoke all previous powers of attorney given in the above-identified application.

<input type="checkbox"/> A Power of Attorney is submitted herewith.	
OR	
<input checked="" type="checkbox"/> I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:	<i>28986</i>
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Practitioner(s) Name	Registration Number

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I am the:

<input type="checkbox"/> Applicant/Inventor.	
OR	
<input checked="" type="checkbox"/> Assignee of record of the entire interest. See 37 CFR 3.71.	
<input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on _____	

SIGNATURE of Applicant or Assignee of Record		
Signature <i>Gee</i>	Date <i>20 MAY 2010</i>	
Name <i>CLERC, Gabriel</i>	Telephone	
Title and Company <i>Head of SRI - EPFL</i>		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

<input type="checkbox"/> Total of _____ forms are submitted.	
--	--

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner:

Application No./Patent No.: 10/591,159 Filed/Issue Date: 10/3/06Titled: Mutants of O<sup>6</sup>-Alkylguanine-DNA Alkyltransferase(Name of Assignee) EPFL Ecole Polytechnique Federale de Lausanne, a University (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest in;
2.  an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3.  the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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 Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(b)(1)(i), *3rd attempt at recording*, the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Harriet Strimpel6/10/10  
Date

Printed or Typed Name

Harriet Strimpel Chief IP Counsel  
New England Biolabs Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## \* \* \* COMMUNICATION RESULT REPORT ( OCT. 1. 2008 2:57PM ) \* \* \*

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **VIA FACSIMILE**  
**(571) 271-3350**  
 Jan BARNIKOW et al. : 5 pages total  
 Serial No. 10/591,159 :  
 Filed October 3, 2006 : Confirmation No. 4315  
 MUTANTS OF O6-ALKYLGUANINE-DNA :  
 ALKYLTRANSFERASE : Attorney Docket No. 2006\_1322A

REQUEST FOR RECORDED ASSIGNMENT

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Enclosed herewith is a copy of the Form PTO-1595, Assignment document and date-stamped postcard receipt which was initially submitted to the Patent Office on October 3, 2006. As of this date, the undersigned Attorney of Record has not received the Notice of Recordation of Assignment Document, and accordingly requests that the Patent Office forward the required Notice of Recordation of Assignment Document for the above-identified application at their earliest convenience.

Respectfully submitted,

Jan BARNIKOW et al.

By: Warren M. Cheek

Warren M. Cheek  
 Registration No. 33,367  
 Attorney for Applicants

WMC/dlk  
 Washington, D.C. 20006-1021  
 Telephone (202) 721-8200  
 Facsimile (202) 721-8250  
 October 1, 2008

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Name of person signing certificate

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 Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : VIA FACSIMILE  
Jan BARNIKOW et al. : (571) 273-3350  
Serial No. 10/591,159 : 5 pages total  
Filed October 3, 2006 : Confirmation No. 4315

MUTANTS OF O6-ALKYLGUANINE-DNA :  
ALKYLTRANSFERASE Attorney Docket No. 2006\_1322A

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Respectfully submitted,

Jan BARNIKOW et al.

By: Wachuck

Warren M. Cheek  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
October 1, 2008

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Donna L. King  
Name or person signing certification

October 1, 2008  
Date

FORM PTO-1595 (modified)

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Patent and Trademark Office

To the Honorable Assistant Secretary and Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) therof.

1. Name of conveying party(ies):  Jan BARNIKOW, Christopher CHIDLEY, Thomas GRONEMEYER, Christian HEINIS, Hughes JACCARD, Kai JOHNSSON, Alexandre JUILLERAT, Antje KEPPLER	2. Name and address of receiving party(ies):  Name: <u>EPFL ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE</u>  Address: <u>CM-Ecublens, 1015 Lausanne, Switzerland</u>
Additional name(s) of conveying party(ies) attached? <u>NO</u>	
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other	Additional name(s) & address(es) attached? <u>NO</u>
Execution Date: <u>August 17, September 13, August 23, August 26, September 14, September 13, September 5, 2006 and August 22, 2006</u>	

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.  
10/591,159, filed August 30, 2006B. Patent No.(s)  
, issuedAdditional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:  Name: <u>WENDEROTH, LIND &amp; PONACK, L.L.P.</u> <u>Attn: Warren M. Cheek, Jr., Esq.</u>  Street Address: <u>2033 K Street, N.W., Suite 800</u>  City: <u>Washington</u> , State: <u>DC</u> ZIP: <u>20006-1021</u>	6. Total number of applications and patents involved: <u>1</u>
	7. Total fee (37 CFR 3.41). . . . . <u>\$40.00</u>
	<input checked="" type="checkbox"/> Enclosed (Check No. _____) Authorized to be charged to deposit account
	8. Deposit account number: <u>23-0975</u>

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Warren M. Cheek, Jr., Reg. No. 33,367  
Name of Person SigningWarren M. Cheek, Jr.  
Signature

October 3, 2006

Date

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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US (PCT) Case KB-P219SUS

ASSIGNMENT

I / We Jan BARNIKOW, Thiersteinerallee 78, 4053 Basel, Switzerland  
Christopher CHIDLEY, Route de Marcolet 15A, 1023 Crissier, Switzerland  
Thomas GRONEMEYER, Munscheidstrasse 12, 45529 Hattingen, Germany  
Christian HEINIS, Kistlerweg 16, 3270 Aarberg, Switzerland  
Hughes JACCARD, PI Pestalozzi 3, 1400 Yverdon-les-Bains, Switzerland  
Kai JOHNSON, Rue du Midi 20, 1003 Lausanne, Switzerland  
Alexandre JUILLERAT, 47A Fondation de Monaco, 75014 Paris, France  
Antje KEPPLER, Im Eichwald 2A, 69126 Heidelberg, Germany

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to EPFL ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE, a Swiss entity, of CM-Ecublens, 1015 Lausanne, Switzerland, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**MUTANTS OF 0<sup>6</sup>-ALKYLGUANINE-DNA ALKYLTRANSFERASE**

Invented by me/us and described in the international application

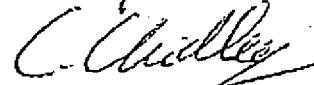
No. PCT/EP2005/050899 filed 01 March 2005

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said EPFL ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

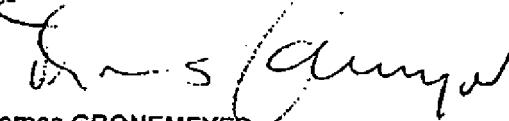
And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said EPFL ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE.

Jan BARNIKOW

Date

17.8.06Christopher CHIDLEY

Date

13.09.06Thomas GRONEMEYER

Date

23.8.06

Christian HEINISAlain

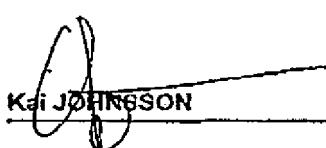
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24.8.06

Hughes JACCARD

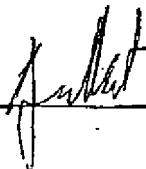
Date

14.09.06

  
Kai JOHNSON

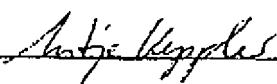
Date

13.05.06

Alexandre JUILLERAT

Date

05.09.06

Antje KEPPLER

Date

22.08.06

**ATTY DOCKET #:** 2006\_1322A

**Due Date:** [None]

**Confirmation No.**

**OUR REF:** 2006\_1322A/WMC/01970

**Applicant:** Jan BARNIKOW et al.

**Serial No.:** 10/591,159

**Filing Date:** August 30, 2006

**Title:** MUTANTS OF O6-ALKYLGUANINE-DNA ALKYLTRANSFERASE

**Receipt of the following papers is acknowledged:**

1. Recordation Form Cover Sheet (Form PTO-1595) and Assignment
2. Check in the amount of \$40.00

**Date:** October 3, 2006

**Attorney:** WMC/dlk

**[Check No.]** 76503



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